Registrar Security Response Waiver Request Form

Instructions

The Security Response Waiver (SRW) service request should be used by a registrar to request a contractual waiver from ICANN org for actions the registrar **might take** or **has taken**:

- To mitigate or eliminate a present or imminent security incident to a registrar and/or the DNS
- In response to a court order from a law enforcement agency which requires the registrar to take action due to a security threat.

A contractual waiver is an exemption from compliance regarding specific provision(s) of the Registrar Accreditation Agreement (RAA) for the time period necessary to respond to the incident.

It is recognized that in some extraordinary instances registrars may be required to take immediate action to prevent or address an incident. In cases of such incidents, registrars should submit an SRW service request as soon as possible so ICANN org may respond with a retroactive waiver if appropriate.

For more information or questions regarding this form, please refer to the <u>Security Response</u> Waiver Requests for Registrars page.

The form must be completed, including supporting documentation, then emailed to registrar@icann.org.

Unless specified as "optional," all fields must be completed.

Registrar Details

IANA ID #:

Registrar (Full Entity Legal Name):

Primary Point of Contact

Please provide information for the Primary Point of Contact ICANN org should correspond with regarding this incident. Please note that this contact must be authorized to answer questions and make decisions with regards to this incident.

Primary Point of Contact First Name:

Primary Point of Contact Last Name/Surname:

Primary Point of Contact Title (Position):

Primary Point of Contact Phone Number:

Primary Point of Contact Email Address:

Secondary Point of Contact

If a Secondary Point of Contact is necessary (e.g. Legal, Security), please provide the contact information below.

Secondary Point of Contact First Name (optional):

Secondary Point of Contact Last Name/Surname (optional):

Secondary Point of Contact Title (Position) (optional):

Secondary Point of Contact Phone Number (optional):

Secondary Point of Contact Email Address (optional):

Is the Secondary Point of Contact authorized to answer questions and make decisions with regards to this incident or is the Secondary Point of Contact to be used just for informational purposes only?

Authorized

Informational only

Incident Summary and Waiver Information

1. Please provide as much detail as possible about the incident, regarding the nature and potential impact on the Internet community.

Note: Information provided by the registrar and marked "CONFIDENTIAL" will be treated as confidential by ICANN org to the extent permitted by law.

	confidential by ICANN org to the extent permitted by law.
2.	Please provide the name of the security threat, if known (optional):
3.	If this is a new incident, please select Yes and skip to Question 7. If this is related to a prior incident you may already have a waiver for, please select No.
	Yes
	No
4.	Is an active waiver in place? If you answer No, skip to Question 7.
	Yes
	No
5.	If an active waiver is in place, provide details as to how the new incident impacts the existing waiver.
6.	Please provide the Waiver ID (if available) for the existing waiver. (This is a 5-digit number provided by ICANN org)
7.	How did you learn of this current incident you described?
8.	Has action already been taken in relation to this incident?
	Yes
	No

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9.	DO M	ou regu	ire a v	waiver	prior to	takıng	action in	relation	to thi	s incident?

Note: It is recognized that in some extraordinary instances registrars may need to take urgent action. In cases of such incidents, registrars should submit an SRW service request as soon as possible and if appropriate ICANN org may respond with a retroactive waiver.

Yes

No

10. Will you need to register names in response to this incident? If you answer No, skip to Question 14.

Yes

No

11. Will the names be self-registered?

Yes

No

- 12. If the names will be registered, please indicate the Registered Name Holder(s) (RNH).
- 13. If the names will be registered via a registrar or affiliated registrars, then please provide the name(s) and IANA ID(s) of the registrar(s).

Note: If you require additional space, please provide the full list of registrars and IANA IDs in a separate spreadsheet labeled **Appendix A** and submit it with this form.

Registrar Name	IANA ID

14. What additional action(s) will you or did you take to respond to the incident? Please state

- If you will be removing/adding domain names
- If the names will be activated (i.e. in the public DNS)
- How the names will be handled:
 - For the duration of the incident (renewed, etc.)
 - Once the incident has concluded (cancelled, expired, remain active, etc.)
- 15. Please provide the approximate number of domains affected by this incident, if known (optional).
- 16. Attach a list of the affected domains, if known (optional).

Note: Provide the full list of affected domains in a separate spreadsheet labeled **Appendix B** and submit it with this request form.

- 17. Please provide the estimated duration of the incident, if known (optional).
- 18. Please describe the contractual relief you are requesting including the relevant section(s) of the Registrar Accreditation Agreement (RAA). This may include, but is not limited to, a temporary waiver for Section 3.9.2 of the RAA, Accreditation Fees, specifically the transaction-based fee of USD 0.18 per transaction/year.

Note: If you require additional space, please provide the full list in a separate spreadsheet labeled **Appendix C** and submit it with this form.

Requested Relief	RAA Section

19. Please provide the estimated duration of the waiver for contractual re	eliet, it	t known ((optional).
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20.	Can yo	u provide a	a court orde	r related to thi	s incident?	If you answer	Yes, skip to	Question
	22							

Yes

No

- 21. Please provide an explanation for why a court order cannot be provided or attached, and then skip to Question 23.
- 22. Please attach a copy of a court order from law enforcement.

Note: Provide the court order in a separate document labeled **Appendix D** and submit it with the request form.

- 23. What are the actions requested under the court order by the registrar?
- 24. Do you have information about other registrars that may be affected by the incident you described? If so, please list the affected registrars, IANA IDs, and what has been communicated regarding the incident (optional).

I, the undersigned hereby attest that I am a duly authorized representative with proper authority and power to sign for this request in the name of the Registrar identified above and for all Registrars listed in the Appendix, if applicable; and that the information contained herein is true, accurate, and complete in all respects.

I confirm that the Primary Point of Contact is authorized to answer questions and make decisions with regards to this incident.

By submitting my personal data, I agree that my personal data will be processed in accordance with the <u>ICANN Privacy Policy</u>, and agree to abide by the website <u>Terms of Service</u>.

Signature

Name (please print)

Title (Position)

Date